

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Kirk E. Neet, et al.

Appln. No.:

Filed: Concurrent herewith

For: Alternator Stator Having A  
Multiple Filar Construction To  
Improve Convective Cooling

Attorney Docket No: 10541-1863

Examiner: Unkown

Art Unit: Unknown

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant cites the following references (each listed for the Examiner's convenience on the enclosed Form PTO-1449):

| No.       | Date of Publication | Patentee/Applicant/Assignee |
|-----------|---------------------|-----------------------------|
| 3,566,171 | 02/23/1971          | Tichy et al.                |
| 3,634,708 | 01/11/1972          | Fisher et al.               |
| 3,750,273 | 08/07/1973          | Beddows                     |
| 3,809,936 | 05/07/1974          | Klein                       |
| 3,824,683 | 07/23/1974          | Rhudy                       |
| 4,115,915 | 09/26/1978          | Godfrey                     |
| 4,260,924 | 04/07/1981          | Lugosi et al.               |
| 4,307,311 | 12/22/1981          | Grozinger                   |
| 4,329,764 | 05/18/1982          | Sakaue et al.               |
| 4,331,896 | 05/25/1982          | Sedgewick                   |
| 4,337,567 | 07/06/1982          | Lugosi et al.               |
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| 6,281,614    | 08/28/2001 | Hill             |
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| 6,333,573    | 12/25/2001 | Nakamura         |
| 6,335,583    | 01/01/2002 | Kusase et al.    |
| 6,337,530    | 01/08/2002 | Nakamura et al.  |
| 6,348,751    | 02/19/2002 | Jermakian et al. |
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| 2001/0040418                  | 11/15/2001 | Higashino et al.                  |
| 2002/0063490                  | 05/30/1902 | Asao et al.                       |
| EP 0751609 A2                 | 02.01.1997 | Mitsubishi Denki Kabushiki Kaisha |
| EP 1211781 A1                 | 05.06.1902 | Mitsubishi Denki Kabushiki Kaisha |
| WO 99/34499                   | 08.07.1999 | Robert Bosch GMBH                 |
| U.S. Serial No.<br>10/304,319 | 12/19/02   |                                   |

A copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2) is also enclosed. As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R. § 1.98(a)(3). Applicant respectfully solicits the Examiner's consideration of the listed references and entry thereof into the record of this application.

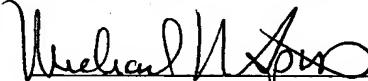
By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. § 1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Commissioner is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

11/26/03

Date



Michael N. Spink (Reg. No. 47,107)  
Attorney/Agent for Applicant

Enclosures: Form PTO-1449 (three sheets)  
Copies of foreign references

|  |                                    |                        |
|--|------------------------------------|------------------------|
| FORM PTO-1449  | APPLICATION NO.<br>Unknown         | CASE NO.<br>10541-1863 |
| <b>LIST OF PATENTS AND PUBLICATIONS FOR<br/>APPLICANT'S INFORMATION DISCLOSURE<br/>STATEMENT</b> | FILING DATE<br>Concurrent Herewith | ART UNIT<br>Unknown    |
| APPLICANT(S): Kirk E. Neet, et al.   |                                    |                        |

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE       | NAME              | CLASS/ SUBCLASS | FILING DATE |
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|                  | 3,566,171       | 02/23/1971 | Tichy et al.      |                 |             |
|                  | 3,634,708       | 01/11/1972 | Fisher et al.     |                 |             |
|                  | 3,750,273       | 08/07/73   | Beddows           |                 |             |
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|                  | 3,824,683       | 07/23/74   | Rhudy             |                 |             |
|                  | 4,115,915       | 09/26/1978 | Godfrey           |                 |             |
|                  | 4,260,924       | 04/07/81   | Lugosi et al.     |                 |             |
|                  | 4,307,311       | 12/22/81   | Grozinger         |                 |             |
|                  | 4,329,764       | 05/18/82   | Sakaue et al.     |                 |             |
|                  | 4,331,896       | 05/25/82   | Sedgewick         |                 |             |
|                  | 4,337,567       | 07/06/82   | Lugosi et al.     |                 |             |
|                  | 4,399,843       | 08/23/83   | Sedgwick          |                 |             |
|                  | 4,405,553       | 09/20/83   | Lovgren et al.    |                 |             |
|                  | 4,451,749       | 05/29/1984 | Kanayama et.al.   |                 |             |
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| FOREIGN PATENT DOCUMENTS |                 |          |         |                 |                 |    |
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| EXAMINER INITIAL         | DOCUMENT NUMBER | DATE     | COUNTRY | CLASS/ SUBCLASS | TRANSLATION YES | NO |
|                          | EP 0751609 A2   | 02.01.97 | Europe  |                 | X               |    |
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|-----------------------------|---|--|
| <b>EXAMINER<br/>INITIAL</b> | <b>OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)</b> |  |
|                             | U.S. Serial No. 10/304,319, filed 12/19/02                              |  |

|          |                 |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

|   |                                    |                        |
|---|------------------------------------|------------------------|
| FORM PTO-1449   | APPLICATION NO.<br>Unknown         | CASE NO.<br>10541-1863 |
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|                  | 5,926,940       | 07/27/99   | Toh et al.      |                 |             |
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